PTO-1390 (Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTTORNEY'S DOCKET NUMBER CDM/2353 0010

CO	DISTRIBUTE (DO/EO/US) U.S. APPEICATIONING (If known see 37 CFR 1.5)	
	NATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED	
PCT/	/CA03/00330	
DNA	OF INVENTION AND RNA CONFORMATIONAL SWITCHES AS SENSITIVE ELECTRONIC SENSORS	OF
APPLIC	ANA	ALYI
Simo	on Fraser University ant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:	
• • • • • • • • • • • • • • • • • • • •		
ı. 🗵		
2. Li	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.	
3. X	(5), (6), (9) and (21) indicated below.	
4. X	The US has been elected (Article 31).	
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	a. is attached hereto (required only if not communicated by the International Bureau).	
	b. has been communicated by the International Bureau.	
_	c. is not required, as the application was filed in the United States Receiving Office (RO/US).	
5. L		
	a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4).	
, 🗆	ı ' ' '	
	a. are attached hereto (required only if not communicated by the International Bureau).	
	b. have been communicated by the International Bureau.	
	c. have not been made; however, the time limit for making such amendments has NOT expired.	
	d. have not been made and will not be made.	
3.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
ə. []	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. ^r	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Iter	ms 11 to 20 below concern document(s) or information included:	
11. 🔲	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. X	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. 🗀	A preliminary amendment.	
14. 🗀	An Application Data Sheet under 37 CFR 1.76.	
15. 🗀	A substitute specification.	
16. X	A power of attorney and/or change of address letter.	
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.	
18. 🗀	A second copy of the published International Application under 35 U.S.C. 154(d)(4).	
_{19.} [_{20.} [X]	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). PCT Int'l Search Report; Certificate Under 37 CFR \$3.73(b); Other items or information: PCT Request; PCT Notification of Recording of Char	inge

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

15 Sheets of drawings (FIGs. 1-15 %) of 2 Check in the sum of \$644;

Certificate of Mailing by "Express Mail" EV422219244US

PTO-1390 (Rev. 07-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION I	507387	international a	PPLICATION NO.	ATTORNEY'S DO	CKET NUMBER		
£ (L	7701381	PCT/CA03/00	0330	CDM/2353.0	010		
21. The following	g fees are submitted:			CALCULATIONS	PTO USE ONLY		
1	EE (CFR 1.492(a)(1)(5)):						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO							
International prelimina USPTO but Internation	ry examination fee (37 CFR 1) nal Search Report prepared by						
International prelimina but international searc	ry examination fee (37 CFR 1. h fee (37 CFR 1.445(a)(2)) pa						
International prelimina but all claims did not s	ry examination fee (37 CFR 1. atisfy provisions of PCT Article						
and all claims satisfied	ry examination fee (37 CFR 1. I provisions of PCT Article 33(ENTER APPROPRIATI	\$ 920.00					
	for furnishing the oath or declar ed priority date (37 CFR 1.492	\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	36 -20=	16	X \$18.00	\$ 288.00			
Independent claims	2 -3=	0	× \$86.00	\$ Ø			
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$290.00	\$			
TER A - I' A - I'-		TOTAL OF ABOVE CA		\$1,208.00			
by 1/2.	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						
	SUBTOTAL = \$ 604.00						
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						
· · · · · · · · · · · · · · · · · · ·		\$ 604.00					
	enclosed assignment (37 CFR er sheet (37 CFR 3.28, 3.31).	\$ 40.00					
	·	\$ 644.00					
		Amount to be refunded:	\$				
				Amount to be charged:	\$		
a. A check in the	ne amount of \$ 644.00	to cover the abo	ove fees is enclosed.				
	ge my Deposit Account No copy of this sheet is enclosed.	in the amount of	\$ to co	ver the above fees.			
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1550A duplicate copy of this sheet is enclosed.							
d. Fees are to b	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
CHERNOFF.	VILHAUER, McCLUNG & S						
4,,=,,,-,,,	Attorneys at Law	D. McClung					
	1600 ODS Tower 601 S.W. Second Avenue						
F	601 S.W. Second Avenue Portland, Oregon 97204-31	5)	26,568 REGISTRATIO	N NUMBER			

CERTIFICATE OF MAILING DIDS HEC OF CIVE IO 10 SEP 2007

BY "EXPRESS MAIL"

Express Mail No.: EV 422219244 US

Date of Deposit: September 10, 2004

Title:

DNA AND RNA CONFORMATIONAL SWITCHES AS SENSITIVE ELECTRONIC

SENSORS OF ANALYTES

Applicant:

Simon Fraser University

I hereby certify that a U.S. National Phase Entry Under PCT
Chapter II International Utility Patent Application, including Specification
(40 pages), with: (1) Transmittal Letter to the United States Designated/
Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371 (in
duplicate); (2) PCT Request; (3) PCT Power of Attorney; (4) PCT Notification
of the Recording of a Change; (5) PCT International Search Report; (6)
Recordation Form Cover Sheet, together with Assignment; (7) Certificate Under
37 CFR §3.73(b), together with a copy of Power of Attorney and Assignment;
(8) 15 sheets of drawings (FIGs. 1-15(b); (9) Check in the amount of \$644 for
the filing and recordation fees; and (10) acknowledgment postcard, are being
deposited with the United States Postal Slervice "Express Mail to Addressee"
on the date indicated above and is addressed to: Mail Stop PCT, Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Linda M. Armstead

10/507387 DT09 Rec'd PCT/PTO 10 SEP 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE UNDER 37 CFR §3.73(b)

Applicant	: Sen, Dipankar						
App. No.	:						
Filed	: Concurrently Herewith						
Title	: DNA AND RNA CONFORMATIONAL SWITCHES AS SENSITIVE ELECTRONIC						
	SENSORS OF ANALYTES						
(Assignee)	: <u>Simon Fraser University</u> , a university (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)						
	nat it is the assignee of the entire right, title and interest at application identified above by virtue of either:						
A. [X]	An assignment from the inventor(s) of the patent application identified above.						
OR							
B. []	A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:						
1.	From: To:						
	The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy is attached.						
2.	From: To:						
	The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy is attached.						
[]	Additional documents in the chain of title are listed on a supplemental sheet.						
[x]	Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)						
The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.							
The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.							
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.							
Date :	September 10, 2004 Charles D. McClung						
Name : Title :	Attorney for the Assignee (copy of Power of Attorney attached)						
Signature:	Cho/						
Chernoff, Vilhauer, McClung & Stenzel, LLP							
1600 ODS Tower 601 S.W. Second A Portland, Oregon Tel.: (503) 227	Avenue 97204-3157						